

Lisanti Capital Growth
April 15, 2022

FORM CRS

Lisanti Capital Growth is registered with the Securities and Exchange Commission as an investment adviser and, as such, we provide advisory services rather than brokerage services. Brokerage and investment advisory services and fees differ and it is important for you, our client, to understand the differences. Additionally, free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://investor.gov/CRS), which also provides educational materials about broker-dealers, investment advisers, and investing. This document is a summary of the services and fees we offer to "retail" investors, which are natural persons who seek or receive services primarily for personal, family, or household purposes.

What investment services and advice can you provide me?

We offer the following investment advisory services to retail investors: **Portfolio Management Services related to Small and SMID cap securities, which includes model delivery to wrap fee programs**; Detailed information regarding our services, fees and other disclosures can be found in our Form ADV Part 2A Items 4, 7, 13 and Form ADV Part 2 Appendix 1 Brochures. <https://adviserinfo.sec.gov/firm/brochure/130023>.

Account Monitoring If you open an investment account with our firm, as part of our standard service we will monitor your investments on a monthly basis.

Investment Authority We manage investment accounts on a **discretionary** basis whereby **we will decide** which investments to buy or sell for your account. You may limit our discretionary authority (for example, limiting the types of securities that can be purchased or sold for your account) by providing our firm with your restrictions and guidelines in writing. We also offer **non-discretionary** investment management services whereby we will provide advice through model portfolio management.

Investment Offerings

We offer advice on the following types of investments or products: Small and SMID Cap equity securities.

Account Minimums and Requirements In general, we require a minimum of one million dollars amount to open and maintain an advisory account; however, we reserve the right to waive the minimum on a case by case basis.

Key Questions to Ask Your Financial Professional

- **Given my financial situation, should I choose an investment advisory service? Why or Why Not?**
- **How will you choose investments to recommend to me?**
- **What is your relevant experience, including your licenses, education and other qualifications?**
- **What do these qualifications mean?**

What fees will I pay?

The following summarizes the principal fees and costs associated with engaging our firm for investment advisory services. For detailed information, refer to our Form ADV Part 2A and Form ADV Part 2 Appendix 1 Brochures by clicking this link <https://adviserinfo.sec.gov/firm/brochure/130023>.

- **Asset Based Fees** - The fees we receive are asset-based (i.e. based on the value of your account) and charged in arrears, on a quarterly or monthly basis, based on the client preference.
- Clients pay the following addition fees and/or expenses: commission charged by executing broker dealer; fees imposed by the client custodian.

Examples of the most common fees and costs applicable to our clients are:

- Custodian fees;
- Account maintenance fees; and
- Commission charges when purchasing or selling securities.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

Key Questions to Ask Your Financial Professional

- **Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?**

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours.

At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here are some examples to help you understand what this means.

- **Compensation from Third Parties:** Our firm receives compensation in the form of soft dollars for brokerage and research services. Brokerage and research service may be used in servicing all of Lisanti's accounts although not all Client accounts portfolio transactions are executed through Brokers who compensate Lisanti with soft dollars. Clients that direct brokerage or prohibit the use of soft dollars and therefore restrict Lisanti's ability to use commissions to pay for brokerage and research services are expected to benefit from such services.
- Lisanti has an affiliated broker-dealer and registered investment advisor. Lisanti does not trade with their affiliated broker dealer, however both affiliates are compensated for the establishment of new client relationships.

Refer to our Form ADV Part 2A by clicking this link <https://adviserinfo.sec.gov/firm/brochure/130023> to help you understand what conflicts exist.

Key Questions to Ask Your Financial Professional

- **How might your conflicts of interest affect me, and how will you address them?**

How do your financial professionals make money?

Our Company and the financial professional servicing your account(s) are compensated in the following ways: Salary, Bonus. Financial professionals' compensation is based on the following factors: the performance of the portfolio as well as individual performance.

Do you or your financial professionals have legal or disciplinary history?

No, our firm and our financial professionals currently do not have any legal or disciplinary history to disclose. Visit Investor.gov/CRS for a free and simple research tool.

Key Questions to Ask Your Financial Professional

- **As a financial professional, do you have any disciplinary history? For what type of conduct?**

You can find additional information about your investment advisory services and request a copy of the relationship summary at : 332-362-3100 or click the link provided <https://adviserinfo.sec.gov/firm/brochure/130023>.

Key Questions to Ask Your Financial Professional

- **Who is my primary contact person?**
- **Is he or she a representative of an investment adviser or a broker-dealer?**
- **Who can I talk to if I have concerns about how this person is treating me?**